

Form Series No.	
Client Series No.	

JBS SECURITIES PVT. LTD.

-: Member :-

National Stock Exchange of India Ltd. (NSE)

Bombay Stock Exchange of India Ltd. (BSE)

Central Depository Services India Ltd. (CDSL)

SEBI Registration Number

NSE / BSE : INZ000191134

CDSL: IN-DP-250-2016

CLIENT REGISTRATION FORM

-: Regd. Office:-

707 7th Floor Sakar-1 Nr. Gandhigram Rly Stn

Ashram Road Ahmedabad 380009.

Ph. :(079) 48984500-05, 93280 06339 Fax. :(079) 48984504

E-mail :jbs@jbsindia.in

Website: www.jbsindia.in

Client Code : _____

Client Name : _____

INDEX

Annexure-1

ACCOUNT OPENING KIT

S. No.	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY (as per original wording)			
01	Central KYC Registry	Central KYC Registry	03 - 06
02	KYC Form	KYC Form For Non-Individuals	07 - 10
03	FATCA FORM	FATCA For Individuals	11
04	KYC FORM	Additional KYC Form for Demat & Trading Account	12 - 20
05	Declaration	Declaration by Karta	21
06	Policy and Procedures	Documents Describing Significant Policies & Procedures of the Stock Broker	22 - 25
07	Tarrif Sheet	Documents Detailing the rate / amount of brokerage and other levied on the client for trading on the stock exchange(s).	26
08	Schedule of Charges (Depository Participants)	Schedule detailing the charges levied on the client for transaction in Demat Account	27
09	Terms & Conditions (Depository Participants)	Terms & Conditions-cum-Registration / Modification From for receiving SMS Alerts From CDSL	28 - 29
VOLUNTARY (as per original wording)			
10	Voluntary Documents - 1	Voluntary documents for operational Convenience of account	30 - 35
11	Voluntary Document - 2	Voluntary documents for operational Convenience of account	36
12	POA	Authorities Operate Demat Account and Other Permission for Smooth Operations.	37 - 38
13	Confirmation of Receipt	Confirmation of receipt of copy of KYC Documents for Trading account & Demat Account	39

Name of Stock Broker / Trading Member / Clearing Member : **JBS SECURITIES PVT. LTD.**Details of SEBI Registration Numbers : **NSE/BSE : INZ000191134**Clients Signature : 

Exchange and Segment	Details of Clearing Member
NSE F&O Segment BSE F&O Segment	Globe Capital Market Ltd. 609, Ansai Bhawan, 16KG Marg, New Delhi - 110001. Sebi Reg. No. : INZ000177137 Tele. No. : 011-30412345

Depository Details :	
Name	CDSL
DP Id	12083000
SEBI Reg. No.	IN-DP-CDSL-250-2016
Registered office address	707, 7th Floor, Sakar-1, Nr. Gandhigram Rly. Stnd. Ashram Road, Ahmedabad - 380 009. Ph. : 079-48984500-05, 93280 06339 - Fax : 079-48984505 E-mail : jbs@jbsindia.in - Web. : www.jbsindia.in
Correspondence office address	SAME AS ABOVE
Compliance officer's details	Mr. Krutik J. Shah Ph. : 079-48984500 E-mail : kjs@jbsindia.in

For any grievance/dispute please contact stock broker (JBS Securities Pvt. Ltd.) at the above address or email id : jbs@jbsindia.in and Phone No. Ph. : 079-48984500-05,9828006339 In case not satisfied with the response, please contact the concerned exchange(s) as per following details :

Details of Investor Grievance Cell of Respective Exchanges :

Exchange	e-mail id	Phone No.
CDSL	complaints@cdslindia.com	1800-200-5533
NSE	ignse@nse.co.in	022-26598100, 26598190
BSE	is@bseindia.com	022-22721233

Clients Signature : 

A) Fields marked with "*" are mandatory fields.
B) Tick ✓ wherever applicable.
C) Please fill the form in English and in BLOCK Letters.
D) Please fill the date in DD-MM-YYYY format
E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated.

- F) Please read section wise detailed guidelines / instruction at the end.
- G) List of State/ U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The OTP based E-KYC check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode.

For office use only	Application Type*	☐ New	☐ Update
(To be filled by financial instruction)	KYC Number		
	Account Type*	☐ Normal ☐ Minor ☐ Aadhaar OTP based E-KYC (in non - face to face mode)	

☐ Name* (Same as ID proof)																	
Maiden Name																	
Father / Spouse Name*																	
Mother Name																	
Date of Birth*			-														
Gender*	☐ M-Male	☐ F-Female	☐ T-Transgender														
PAN*																	
Marital Status*	☐ Married	☐ Unmarried	☐ Others														
Citizenship*	☐ IN - India	☐ Others (ISO 3166 Country Code)															
Residential Status*	☐ Resident Individual	☐ Non Resident Indian	☐ Foreign National														
Occupation Type*	☐ S-Service O-Others	(☐ Private Sector ☐ Professional	☐ Public Sector	☐ Self Employed	☐ Government Sector)	☐ Retired	☐ Housewife	☐ B-Business	☐ X-Not Categorised	☐ Student)							

I. Certified copy of OVD of equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

A- Passport Number		Passport Exp. Date :	- -
B- Voter ID Card			
C- Driving Licence		D.L. Exp. Date :	- -
D- NREGA Job Card			
E- National Population Register Letter			
F- Proof of Possession of Aadhar			
E-KYC Authentication			
Offline Verification of Aadhar			
Other Documents			



Address			
Line 1*			
Line 2*			
Line 3*			
District*		Pin/Post Code*	State/U.T. Code*
			City / Town / Village*
			ISO 3166 Country Code*

Same as above mentioned address (in such cases address details as below need not be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

[illegible]

Address																																							
Line 1*																																							
Line 2*																																							
Line 3*																																							
District*															Pin/Post Code*										State/U.T. Code*					City / Town / Village*									
ISO 3166 Country Code*																																							

☐ **4. CONTACT DETAILS** (All communications will be sent to Mobile number / Email-ID provided) (Please refer instruction C at the end)

[illegible]

☐ 5. REMARKS (If any)

☐ 6. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : --

[illegible]

Signature / Thumb Impression of Applicant

7. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification ☐ Digital KYC Process
☐ Equivalent e-document ☐ Video Based KYC ☐ IPV Done ☐ On : - -

KYC VERIFICATION CARRIED OUT BY

INSTITUTION DETAILS

Date --

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

Name	J	B	S	S	E	C	U	R	I	T	I	E	S	P	V	T	L	T	D		
Code	I	N	0	6	1	0															
	C	V	L	K	R	A	1	4	0	0	7	8	4	0	3	6					

707, 7th Floor, Sakar-1, Gandhigram Rly. Stn., Ashram Road, Ahmedabad - 380 009.

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual



Important Instructions:

- A) Fields marked with "*" are mandatory fields.
 B) Tick ✓ wherever applicable.
 C) Please fill the form in English and in BLOCK Letters.
 D) Please fill the date in DD-MM-YYYY format
 E) For particular section update, please tick () the box section number and strike off the sections not required to be updated.
 F) Please read section wise detailed guidelines / instruction at the end.
 G) List of State/ U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 H) List of two character ISO 3166 country codes is available at the end.
 I) KYC number of applicant is mandatory for update application.
 J) The OTP based E-KYC check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode.

For office use only

(To be filled by financial instruction)

Application Type*

☐ New ☐ Update

KYC Number

(Mandatory for KYC update request)

Account Type*

☐ Normal ☐ Minor ☐ Aadhaar OTP based E-KYC (in non - face to face mode)

☐ 1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name				
Father / Spouse Name*				
Mother Name				
Date of Birth*				
Gender*	☐ M-Male	☐ F-Female	☐ T-Transgender	
PAN*		☐ Form 60 furnished		
Marital Status*	☐ Married	☐ Unmarried	☐ Others	
Citizenship*	☐ IN - India	☐ Others (ISO 3166 Country Code)		
Residential Status*	☐ Resident Individual	☐ Non Resident Indian	☐ Foreign National	☐ Person of Indian Origin
Occupation Type*	☐ S-Service (☐ Private Sector	☐ Public Sector	☐ Government Sector)	☐ B-Business ☐ X-Not Categorized
	☐ O-Others (☐ Professional	☐ Self Employed	☐ Retired Housewife	☐ Student)

☐ 2. PROOF OF IDENTITY AND ADDRESS (Please refer instruction B at the end)

I. Certified copy of OVD of equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

A- Passport Number		Passport Exp. Date :	
B- Voter ID Card			
C- Driving Licence		D.L. Exp. Date :	
D- NREGA Job Card			
E- National Population Register Letter			
F- Proof of Possession of Aadhar			
E-KYC Authentication			
Offline Verification of Aadhar			
Other Documents			

PHOTO

Signature / Thumb Impression

Address

Line 1*	
Line 2*	
Line 3*	
District*	
Pin/Post Code*	
City / Town / Village*	
State/U.T. Code*	
ISO 3166 Country Code*	

☐ 3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

Same as above mentioned address (in such cases address details as below need not be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

A- Passport Number	
B- Voter ID Card	
C- Driving Licence	
D- NREGA Job Card	
E- National Population Register Letter	
F- Proof of Possession of Aadhar	
E-KYC Authentication	
Offline Verification of Aadhar	
Deemed Proof of Address - Document Type code	

Self Declaration

Address

Line 1*	
Line 2*	
Line 3*	
District*	
Pin/Post Code*	
City / Town / Village*	
State/U.T. Code*	
ISO 3166 Country Code*	

☐ **4. CONTACT DETAILS** (All communications will be sent to Mobile number / Email-ID provided) (Please refer instruction C at the end)

[illegible]

☐ 5. REMARKS (If any)

☐ 6. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : --

[illegible]

Signature / Thumb Impression of Applicant

7. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification ☐ Digital KYC Process
☐ Equivalent e-document ☐ Video Based KYC ☐ IPV Done ☐ On : - -

KYC VERIFICATION CARRIED OUT BY

INSTITUTION DETAILS

[illegible]

Name	J	B	S	S	E	C	U	R	I	T	I	E	S	P	V	T	L	T	D		
Code	I	N	0	6	1	0															
	C	V	L	K	R	A	1	4	0	0	7	8	4	0	3	6					

707, 7th Floor, Sakar-1, Gandhigram Rly. Stn., Ashram Road, Ahmedabad - 380 009.

A) Fields marked with "*" are mandatory fields.
B) Tick ✓ wherever applicable.
C) Please fill the date in DD-MM-YYYY format
D) Please fill the form in English and in BLOCK Letters.
E) KYC number of applicant is mandatory for update application.

F) List of State/ U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
G) List of two character ISO 3166 country codes is available at the end.
H) Please read section wise detailed guidelines / instruction at the end.
I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only (To be filled by financial instruction)	Application Type* KYC Number	☐ New ☐ Update		(Mandatory for KYC update request)
---	--	---	---	------------------------------------

1. ENTITY DETAILS* (Please refer Instruction A at the end)

☐ Name*

Entity Constitution Type* ☐ Others (Specify) (Please refer instruction B at the end)

Date of Incorporation / Formation* Date of Commencement of Business

Place of Incorporation / Formation* Country of Incorporation / Formation* TIN or Equivalent issuing Country

PAN* ☐ Form 60 furnished

TIN / GST Registration Number

☐ Officially valid document(s) in respect of person authorised to transact	
☐ Certificate of incorporation / Formation	☐ Registration Certificate
☐ Memorandum and Articles of Association	☐ Partnership Deed
☐ Resolution of Board / Managing Committee	☐ Trust Deed
☐ Activity Proof - 1 (For Sole Proprietorship Only)	☐ Power of attorney granted to its manager, officers or employees to transact on its behalf
☐ Activity Proof - 2 (For Sole Proprietorship Only)	☐ Activity Proof - 2 (For Sole Proprietorship Only)

3.1 Registered Office Address / Place of Business*

Proof of Address*	☐ Certificate of Incorporation / Formation	☐ Registration Certificate	☐ Other Document
Line 1*			
Line 2			
Line 3			
District*		PIN / Post Code*	City / Town / Village*
		State / U.T Code*	ISO 3166 Country Code*

[illegible][illegible]

☐ 5. NUMBER OF RELATED PERSONS (Please refer instruction E at the end)

[illegible]

7. APPLICANT DECLARATION (Please refer Instruction G at the end)

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I/we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Signature / Thumb Impression

Signature / Thumb Impression of Authorised Person(s)

[illegible]

8. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ Equivalent e-document

KYC VERIFICATION CARRIED OUT BY	INSTITUTION DETAILS

KYC VERIFICATION CARRIED OUT BY	INSTITUTION DETAILS
---------------------------------	---------------------

Name	J	B	S	S	E	C	U	R	I	T	I	E	S	P	V	T.	L	T	D.			
------	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	----	---	---	----	--	--	--

[illegible]

C	V	L	K	R	A	1	4	0	0	7	8	4	0	3	6						
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--

707, 7th Floor, Sakar-1, Gandhigram Rly. Stn., Ashram Road, Ahmedabad - 380 009.

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Selfattested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor or, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of

Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/Sub account, Power of Attorney given by Full/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(* Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the PIO & POA, as mentioned below :

Types of entity	Documentary requirement
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year) • Photograph, POT POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations • Photograph, POI, POA, PAN of individual promoters holding control — either directly or indirectly • Copies of the Memorandum and Articles of Association and certificate of incorporation • Copy of the Board Resolution for investment in securities market • Authorised signatories list with specimen signatures
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered partnership firms only) • Copy of partnership deed • Authorised signatories list with specimen signatures • Photograph, POI, POA, PAN of Partners
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered trust only). Copy of Trust deed • List of trustees certified by managing trustees/CA • Photograph, POI, POA, PAN of Trustees
HUF	• PAN of HUF • Deed of declaration of HUF/List of coparceners • Bank pass-book/bank statement in the name of HUF • Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	• Proof of Existence/Constitution document • Resolution of the managing body & Power of Attorney granted to transact business on its behalf • Authorised signatories list with specimen signatures
Banks/Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years • Authorised signatories list with specimen signatures
Foreign Institutional Investor (FII)	• Copy of SERI registration certificate • Authorised signatories list with specimen signatures
Army/Government Bodies	• Self-certification on letterhead • Authorised signatories list with specimen signatures
Registered Society	• Copy of Registration Certificate under Societies Registration Act • List of Managing Committee members • Committee resolution for persons authorised to act as authorised signatories with specimen signatures • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Clients Signature : 

Name of Applicant _____ PAN of the Applicant _____

[illegible]

Sr. No.	PAN	Name	DIN (for Directors) / Aadhar Number (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						☐ PEP ☐ RPEP ☐ NO	PLEASE AFFIX RECENT PASSPORT SIZE PHOTOGRAPH AND SIGN ACROSS IT
						☐ PEP ☐ RPEP ☐ NO	PLEASE AFFIX RECENT PASSPORT SIZE PHOTOGRAPH AND SIGN ACROSS IT
						☐ PEP ☐ RPEP ☐ NO	PLEASE AFFIX RECENT PASSPORT SIZE PHOTOGRAPH AND SIGN ACROSS IT
						☐ PEP ☐ RPEP ☐ NO	PLEASE AFFIX RECENT PASSPORT SIZE PHOTOGRAPH AND SIGN ACROSS IT
						☐ PEP ☐ RPEP ☐ NO	PLEASE AFFIX RECENT PASSPORT SIZE PHOTOGRAPH AND SIGN ACROSS IT

	RPEP : Politically Exposed Person	RPEP : Related to Politically Exposed Person

Name & Signature of the Authorised Signatory(ies)

Date:

d	d
---	---

 /

m	m
---	---

 /

y	y	y	y
---	---	---	---

Clients Signature : 

Self Declaration Form for Individuals [for DP & Trading]

Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance

DPID	1	2	0	8	3	0	0	0												TRADING CODE													
Name & PAN*																																	
Address Type (For KYC Add.)		☐ Residential ☐ Residential / Business ☐ Business ☐ Registered Office																															
Country of Citizenship																			Country of Birth														
Gross Annual Income Details in INR		☐ Below1 Lakh ☐ 1-5Lacs ☐ 5-10 Lacs ☐ 10-25Lacs ☐ 25Lacs - 1 Cr ☐ > 1Crore																															
Occupation Details [Please tick anyone (V)]		☐ Business ☐ Professional ☐ Public Sector ☐ Private Sector ☐ Government ☐ Forex Dealer ☐ Agriculturist ☐ Housewife ☐ Student ☐ Retired ☐ Others (Please specify) _____																															
Net Worth & Date (OPTIONAL)		Rs.					Politically Exposed Person [PEP]					☐ Yes ☐ Related to PEP ☐ Not Applicable If applicable (please specify)																					
		DD/MM/YYYY																															

☐ Yes ☐ No

If 'Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

SR No	Country of Tax Residency#	Tax Payer Identification Number / Functional Equivalent	Identification Type (TIN or other, please specify)
1			
2			

#to include all countries other than India, where investor is Citizen / Resident / Greert Card Holder/ Tax Resident in those respective countries especially of USA

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/ am aware that I may liable for it. I hereby authorize you to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Exchanges/Depositories / Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries or any regulated intermediaries registered with SEBI RBI / IRDA / PFMDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of change and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators/ tax authorities. I/We authorize you to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same. I understand that you do not offer any tax advice on CRS/FATCA or its impact on me/us. I/We shall seek advice from Professional Tax Advisor for any tax questions.

Further I/We would like to state that in future if there is any change in my/our tax status (i.e. if I / We become tax resident of any other country other than India) then the same will be informed to JBS SECURITIES PVT. LTD. and FATCA Declaration will be submitted to your immediately.

Self Certification: Are you US Person ☐ Yes ☐ No

To be filled only if

(a) Your country of Birth / Country of Tax Residency is other than India and TIN or Functional Equivalent is not available

(b) US Person is mentioned herein as Yes and TIN is not available:

I Confirm that I am neither a US Person nor a resident for Tax purpose in any country other than India, through one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following documents as proof of my citizenship and residency in India.

Document Proof submitted (Pls. tick document being submitted – Self Certified Copy)

☐ Passport ☐ Election Card ☐ PAN Card ☐ Driving License ☐ UIDAI/Aadhar Card Letter
☐ Govt. issued ID card ☐ NREGA Job Card ☐ Others (please Specify)

Document Identification No. _____

I / We agree that as may be required by domestic regulator/tax authorities, you may also be required to report, reportable details to CBDT or such other Income Tax Authorities as may be applicable in India or close or suspend my/our account.

I/we agree to submit a new form within 30 days if any information including my tax status/certification on this form will change

Date : _____

Place :

Signature

--

OTHER DETAILS

1. **Gross Annual Income Details** (Please tick (✓): ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ > 25 Lacs OR
Net-worth in Rs. _____ (should not be older than 1 year) as on (date) ____/____/____

2. **Occupation** (Please tick (✓): any one and give brief details) :

- ☐ Private Sector Service ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
☐ Housewife ☐ Student ☐ Forex Dealer ☐ Othes (Please specify)

3. **Please tick, if applicable:** ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) For definition of PEP, please refer guideline

4. **Any other information** : _____

PREFERENCE FOR RECEIPT OF DOCUMENTS

I wish to receive the KYC documents _____ in physical ☐
(RDD, Rights & Obligations - trading & demat & Do's & Don'ts & Other documents.) in electronic ☐

CONSENT LETTER TO UPDATE MOBILE NUMBER AND EMAIL ID IN DEMAT AND TRADING ACCOUNTS

Pursuant to SEBI circular bearing Ref. CIR/MIRSD/15/2011 dated August 2, 2011, please note that the mobile number and E-mail id mentioned by me in the KYC/KRA/CKYC from belongs to

Mobile No.	My Self ☐	Spouse ☐	Son/Daughter ☐	Father/Mother ☐	Not Available ☐
E-mail ID	My Self ☐	Spouse ☐	Son/Daughter ☐	Father/Mother ☐	Not Available ☐

Name of Person (Mobile) _____

Name of Person (E-mail) _____

OPTION FOR ISSUE OF DIS BOOKLET

I / We hereby state that

☐ **Option 1** : I/We require you to issue delivery instruction slip (DIS) booklet to me/us immediately on opening my / our CDSL account through I/We have issued a Power of Attorney (POA) executed PMS Agreement in favour of with **JBS Securities Pvt. Ltd.** for executing delivery instruction for setting stock exchanges trade settlement related transactions] effected through such Clearing Member/ PMS Manager.

☐ **Option 2** : I/We do not require the Delivery Instruction Slips (DIS) for the time being, since I/We have issued a POA / executed PMS agreement in favour of / with **JBS Securities Pvt. Ltd.** for executing delivery instructions for setting stock exchange trade (settlement related transaction) effected through such Clearing Member/ PMS Manager However the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my / our request at any later date.

BANK ACCOUNT DETAILS :**Main/Default Bank Detail/Dividend Bank**

Bank Name: _____ Branch : _____

branch Address: _____

-A/C No.: _____ A/C Type: ☐ Saving ☐ Current

MICR CODE : ☐ Other _____ (Other- in case of NRI/NRO/NRE)

IFSC CODE : ECS/RTGS/NEFT : ☐ Yes ☐ No

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the Bank Statement having name and address of the BO

(iii) Photocopy of the Passbook having name and address of the BO, (or)

(iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

NOMINATION FORM FOR DEMAT ACCOUNT

Annexure-A

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*

	Nomination Details							
	Mandatory Details						Additional Details	
	Name of nominee	Share of nominee (%)**	Relation ship	Postal Address	Mobile number & E-mail	Identity Number ***	D.O.B. of Nominee	Guardian
Nominee 1								
Nominee 2								
Nominee 3								
Nominee 4								
Nominee 5								
Nominee 6								
Nominee 7								
Nominee 8								
Nominee 9								
Nominee 10								

*Joint Accounts:

Event	Transmission of Account
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously - having nominee	Nominee
Demise of all joint holders simultaneously - not having nominee	Legal host (s) of the youngest holder

** If % is not specified, then the assets shall be distributed equally amongst -I I the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in "Transmission aspects").

*** Provide only number: PAN or Driving License or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

****to be furnished only in following conditions/circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

1) I/We want the details of my/our nominee to be printed in the statement of holding or statement of account, provided to me/us by the DP as follows; (please tick, as appropriate)

- Name of nominee(s)
- Nomination: Yes/No

2) I here by authorize_____ (nominee number) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He/She is authorized to encash my assets up to % of assets in the account or Rs._____. (Optional) (strike off portions that are not relevant) This nomination shall supersede any prior nomination made by me / us, if any.

3) Signature(s)-As per the mode of holding in demat account(s)

Name(s) of holder(s)	Signature(s) of holder/thumb impression	Signature of two witnesses*	Name of Witness & Address (wherever applicable)*
Sole/ First Holder (Mr. Ms.)			
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

*Signature of two witness (es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account.
- In case all your nominees do not claim the assets from the DP, then the residual unclaimed asset shall continue to be with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account, in case of your physical incapacitation, at any point of time and not just during opening of account. This mandate can be changed any time you choose.
- The signatories for this nomination form shall be as per mode of holding in the demat account(s)i.e.
 - 'Either or Survivor' Accounts-any one of the holder can sign
 - 'First holder' Accounts- only First holder can sign
 - 'Jointly' Accounts-all holders have to sign

Transmission aspects

- DPs shall transmit the account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity can not seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir (s) of the deceased investor. In this regard, no dispute shall lie against the DP. In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

%Share as specified by investor At the time of nomination		% assets to be apportioned to surviving nominees upon Demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%		40%	60%	100%

DECLARATION FORM FOR OPTING OUT OF NOMINATION

(Annexure B to SERI Circular No. SEBI/HO[MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on
Mandatory Nomination for Eligible Trading and Demat Accounts)

	D	D	M	M	Y	Y	Y	Y
BOUCC/DPIDID								
Client ID (only for Demat account)								
Sole/First Holder Name								
Second Holder Name								
Third Holder Name								
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my/our trading demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading demat account.								
	Name(s) of holder(s)				Signature(s) of holder*			
Sole / First Holder(Mr./Ms.)								
Second Holder(Mr./Ms.)								
Third Holder(Mr./Ms.)								

*Signature of two witness (es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.
Note: One witness shall attest signature(s) / thumb impression(s)

Details of the Witness	
First Witness	
Name of witness	
Address of witness	
Signature of witness	

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

(Signatures should be preferably in blue ink.)

DEPOSITORY ACCOUNT DETAILS :	
Main / Default DP Detail	
DP Name : _____	
DP Address : _____	
DP ID : _____	Client ID: _____
POA : ☐ Yes ☐ No Depository : ☐ CDSL ☐ NSDL	

TRADING PREFERENCES :

*Please Sign In The Relevant boxes where you wish to trade. The segment not chosen should be stuck off by the client.

Exchanges	Segment		
NSE/BSE	Cash	 Signature of Applicant	 Signature of Applicant
	F&O	 Signature of Applicant	 Signature of Applicant
	SLBM	 Signature of Applicant	 Signature of Applicant

If, in future, the client wants to trade on any new segment / new exchange, separate authorization / letter should be provided by the client to the stock broker.

Clients Signature : 

E. PAST ACTIONS :

- Details of any action/proceedings initiated/pending/ taken by SERI/ Stock exchange/any other authority against the applicant/ constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: ☐ Yes ☐ No (If yes, please specify details) _____
- Whether dealing with any other stock broker/sub-broker
(In case dealing with multiple stock brokers/sub-brokers, provide details of all)
Name of stock broker _____
Name of Sub-Broker, if any: _____
Client Code: _____ Exchange: _____
Details of disputes/dues pending from/to such stock broker/sub- broker: _____

ADDITIONAL DETAILS:

- Whether you wish to receive : ☐ physical contract note ☐ Electronic Contract Note (ECN)
Email id: _____
- Mobile No. : _____ (for receiving SMS Alerts)
- Whether you wish to avail of the facility of internet trading/ wireless technology: ☐ Yes ☐ No
- Number of years of Investment/Trading Experience:
☐ No Prior Experience ☐ _____ years in Equities ☐ _____ Years in Derivatives ☐ _____ Years in other Investment Field
- In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others: _____
- Any other information: _____

SETTLEMENT OF CLIENT ☐ Once in a Month ☐ Once is Quarter

INTRODUCER DETAILS (Optional)

- Name of the Introducer: _____
(Surname) (Name) (Middle Name)
- Status of the Introducer: ☐ Sub-broker ☐ Remisier ☐ Authorized Person
☐ Existing Client ☐ Others, please specify _____
- Address and phone no. of the Introducer: _____
- Signature of the Introducer : _____

Clients Signature : 

ADDITIONAL DETAILS FOR NON-INDIVIDUALS**TYPE OF ACCOUNT** (Please tick whichever is applicable)

STATUS			SUB STATUS							
☐ Body Corporate ☐ Banks ☐ Trust ☐ Mutual Fund ☐ OCB ☐ FI ☐ CM ☐ FI ☐ Clearing House ☐ Other (Specify)			To be filled by the DP							
SEBI Registration No. (If applicable)		SEBI Registration Date	D	D	M	M	Y	Y	Y	Y
RBI Registration No. (If applicable)		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
Nationality	☐ Indian ☐ Other (Specify)									

OTHER DETAILS :

1. Gross Annual Income Details (Please tick ()) : ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lacs -1 Crore ☐ > 1 Crore										
2. Networth in ₹ _____ as on (date) _____ (Networth should be not older than 1 year)										
3. Is the entity involved / providing any of the following services : ☐ Yes ☐ No - For Foreign Exchange / Money Charger Services ☐ Yes ☐ No - Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) ☐ Yes ☐ No - Money Lending / Pawning ☐ Yes ☐ No										
4. Any other Information : _____										

CLEARING MEMBER DETAILS (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member ID		Trading Member ID	

I / We have received and read the Rights and Obligations document / 'Rights and Obligation of BO-DP' (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time.

I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

DECLARATION :

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website,

www.jbsindia.in

	First / Sole Hoder / Authorised Signatory or Guardian (in case of Minor)	Second Hoder Authorised Signatory	Third Hoder Authorised Signatory
Name			
Designation			
Signature			

(Signatures should be preferably in black ink).

Clients Signature : 

FOR OFFICE USE ONLY :

P UCC Code allotted to the client : _____

	Documents verified with Original	Client Interviewed by	In-Person Verification done by
Name of the Employee / SB / AP / Remiser			
Employee Code of TM			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory document. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, **www.jbsindia.in**, for the information of the clients.

For, **JBS Securities Pvt. Ltd.**

Signature of Authorised Signatory

Date : -

Seal / Stamp of the Sock Broker

INSTRUCTIONS / CHECKLIST :**1. Additional documents in case of trading in derivatives segments - illustrative list :**

Copy of ITR Acknowledgment	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets	Self declaration with relevant supporting documents

**In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals:
 - a. Stock broker has an option of doing in-person' verification through web camera at the branch office of the stock broker/subbroker's office.
 - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
5. For non-individuals:
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company / firm/others and their specimen signatures.

Clients Signature :

DECLARATION BY KARTA

DATE :

To,

JBS Securities Private Limited.

707,7TH Floor Sakar- 1, Nr Gandhigram Rly. Stn.

Ashram Road, Ahmedabad 3 80009.

Subject: Our HUF and all co-parceners are stated under

Dear sir,

WHEREAS the Hindu Undivided Family of (Name of karta) _____
 (hereinafter referred to as the said joint family) caring on business in the firm name and style of
 _____ (name of HUF) at Address _____

_____ or elsewhere (hereinafter referred to as the
 said HUF) have or desired to have the Demat Account/Trading Account with M/S JBS Securities Pvt
 Ltd. (hereinafter referred to Member I/we the undersigned hereby declares

- That we are the present adult co-partners of the said joint family.
- That, shri (Name of karta) _____ is the present karta or manager of the said joint family.
- That we are entitled to open Demat Account / Trading Account of the said joint family.
- That each one of us has full and unrestricted authority to act on behalf and bind the said HUF and all the present as well as future member both adults and minors of the said joint family howsoever constituted from time to time.

We confirm that the affairs of the said joint family and the business of the said HUF is carried on mainly by karta/
 Manager Shri (Name of karta) _____ on behalf
 and in the interest and for the co-partners of said joint family and the same is binding to us.

Details of our HUF and all of its co-parceners are stated as under:

Sr No.	Name	Date of Birth	Relationship (with karta)	Signature
1				
2				
3				
4				
5				

I hereby, State that details mentioned as above are true and any change in them would be intimated to you
 in writing.

Thanking You,

Yours Faithfully,

(Name & Signature of Karta with the appropriate Karta Stamp)

POLICY AND PROCEDURES

This is a mandatory /compulsory document from Broker and requires your utmost care, attention and understanding. This is an additional requirements from Broker which if contravenes any rules, regulations, articles, byelaws, circulars, directives and guidelines of SEBI and Exchanges, shall be null and void. (Refer SEBI Circular No. MIRSD/SE/Cir-19/2009 dated 3/12/2009)

Dealing in Penny Stocks:

Generally, the Broker refuses to enter any order of clients for penny stocks. The client is required to adhere to exchange/ members' guidelines and due diligence while trading in such scrips. Even trading in such scrips is very risky. As such, the stock broker may from time to time limit (quantity / value) / refuse orders in one or more securities due to various reasons including market liquidity, value of security(ies), the order being for securities which are not in the permitted list of the stock broker / exchange(s) / SEBI or illiquid scrips. Depending on the market condition and risk management policy of the company, the stock broker reserves the right to refuse to provide limit in Penny Stocks and losses if any on account of such refusal shall be borne by the client only. We the broker, do hereby warn the client not to deal in any penny stocks. However, Broker at its sole discretion, may allow or disallow the clients (on case to case basis) to deal in penny stocks, subject to rules, regulations, articles, byelaws, circulars, directives and guidelines of SEBI and Exchanges as well as considering the prevalent market and other circumstances, at related point of time. The exposure limit in such scrips shall vary from client to client subject to RIMS Policy of Broker and prevalent market condition from time to time without prior notice.

Client's Exposure Limit:

The stock broker may from time to time impose and vary limits on the orders that the client can place through the stock broker's trading system (including exposure limits, turnover limits, limits to number, value and/or kind of securities in respect of which order can be placed etc.). The client is aware and agrees that the stock broker may need to vary or reduce the limits or impose new limits urgently on the basis of the stock broker's risk perception and other factors considered relevant by the stock broker including but not limited to limits on account of exchange / SEBI directions/limits (such as broker level/market level limits in securities specific/ volume specific exposure etc.) and the stock broker may be unable to inform the client of such variation, reduction or imposition in advance. The client agree that the stock broker shall not be responsible for such variation, reduction or imposition or client's inability to route any order through stock broker's trading system on account of such variation, reduction or imposition of limits. The client further agrees that the stock broker may at any time, at its sole discretion and without prior notice, prohibit or

restrict the client's ability to place order or trade in securities through the stock broker, or it may subject any order placed by the client to a review before its entry into the trading systems and may refuse to execute/allow execution of orders due to but not limited to the reason of lack of margin/securities or the order being outside the limits set by stock broker/exchange/SEBI and any other reasons which the stock broker may deem fit and proper in the circumstances. The client agrees that the losses, if any, on account of such refusal or due to delay caused by such review, shall be borne exclusively by the clients alone. The Stock broker has margin based Risk Management System. Client exposure limits are set based on collateral and funds deposited by the client. The type of acceptable collateral as well as the exposure limit varies from client to client depending upon the profile and the channel of trading of the client.

Brokerage Rate:

The Broker discloses that it shall charge a brokerage at the rate being agreed by the client with Broker (including its branches or sub-brokers) as per tariff sheet executed by the client and depending upon market circumstances or as may be prescribed in KYC document / Back office Software. However, the Broker shall adhere to the maximum permissible limit (presently not to exceed 2.5 %) as may be prescribed by SEBI / Exchanges from time to time. On option segment of Exchange, it is hereby disclosed that brokerage shall not exceed 2.5% of the premium amount or Rs 100/- (per lot) whichever is higher.

Imposition of Penalty/Delayed payment Charges:

The client agrees that any amounts which are overdue from clients towards trading or on account of any other reason to the stock broker, will be charged with delayed payment charges @ 12 to 21 % p.a. (depending upon prevalent market rate). The client agrees that the stock broker may impose fines / penalties for any orders / trades / deals / actions of client which are contrary to this agreement or understanding as per KYC documents / rules / regulations / byelaws / circulars / guidelines / direction of the Exchange or SEBI or under any other law for time being in force, at such rates and in such form as it may deem fit. Further where the stock broker has to pay any fine or bear any punishment from any authority in connection with or as a consequence of or in relation to any of the orders / trades / deals / actions of the client, the same shall be borne by the client.

However, the client discloses that he/she/it is aware and specifically agree that charging of delayed payment charge by stock broker is just an additional / ad-hoc facility and shall not be construed / resulted into permanent practice leading to funding by broker in contravention of applicable laws.

Sell of Securities or Closing Open Position:

The Client also agrees and confirms and undertake to immediately deposit with the stock broker such funds, securities or other acceptable security which the stock broker may in its own discretion from time to time require as margin. The client agrees that the stock broker shall be entitled to require the client to deposit with the stock broker a higher margin that prescribed by the Exchange. The client is liable to pay an initial margin upfront on or before creating a position in any cash of Derivatives Contract. Such margin shall be decided upon by the Stock Broker or the Exchange from time to time. Furthermore, the client is liable to pay (or receive) daily margins depending on whether the price of derivatives contract moves for or against the position undertaken. The client may also be liable to pay with holding margin, special margin or such other margins as are considered necessary by the stock broker or the Exchange from time to time. The stock broker is permitted in its sole and absolute discretion to collect additional margins (even though not imposed by any exchange or SEBI) and the client shall be obliged to pay such margins. In case the client does not provide the required margin or any other payment whatsoever within the time frame specified by the stock broker, the stock broker shall have the right to appropriate and / or sell and /or instruct to sell and/or transfer all or any securities pledged or money in client's account and/or any associated account and/or any proceeds thereof, square-off all or any outstanding positions, prevent any new orders from being placed and / or executed by the client or take such other action as the stock broker thinks fit and proper. The stock broker may exercise all or any of the above rights in such manner as the stock broker may think appropriate, without demand for additional margin, security or collateral or advance notice or advertisement, on any exchange or other market where such business can be transacted, at a public auction or by private sale and the stock broker may be the purchaser/seller for its own account. The giving of any prior demand, call or notice shall not be considered as a waiver of the stock broker's right to exercise its rights without any such demand, call or notice. The client agrees that in case of high market volatility, the stock broker may require the client to pay instantaneous margins in addition to the margins that may already have been paid by the client as per margin calls. The client agrees that the stock broker may be compelled to in such circumstances of market volatility, in absence of the payment of the said instantaneous margins by the client, square-off all or any outstanding positions, prevent any new orders from being placed and / or executed by the client or take such other action as the stock broker thinks fit and proper. The client agrees that the stock broker may be compelled to in circumstances of extreme market volatility, square-off all or any outstanding positions, prevent any new orders from being placed and / or executed by the client or take such other action as the stock broker thinks fit and proper, even without calling for the payment by the client, of the aforesaid

instantaneous margins by the client. In case of short fall in the margin, the stock broker shall check for availability of additional limits in client's associated account(s) to see whether adequate additional limits are available to restore the margin level to the initial margin level and if no such limits are available then the client's outstanding positions may be squared-off by the stock broker, at its discretion, without notice to client. If the client has entered into a short sale or long purchase then the stock broker shall be entitled to, at any time before the client has squared-off his short sale or long purchase position, square-off and/or carry forward the whole or part of the same on any day, at any place and in any manner as the stock broker thinks fit, or permit the exchange to close out or auction such position, and the aforesaid shall be at the client's sole risk and cost.

"As per the SEBI circular no. CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated 20.06.2019 on Handling of Client's Securities JBS Securities Pvt. Ltd. shall transfer the securities purchased by the client to client's demat account within 1 working day of the pay out if clear payment is received from the client and if the client fails to make the payment in full then JBS Securities Pvt. Ltd. shall transfer the securities to the "client unpaid securities account (CUSA)". The securities kept in the CUSA shall either be transferred to the demat account of the client upon fulfillment of its funds pay-in obligation or in case of the payment is not received by the client it shall be disposed off in the market by JBS Securities Pvt. Ltd. within 5 trading days after the date of pay out without any notice to the client to the extent of debit balance of the client and/or as per the Risk Management policy of JBS Securities Pvt. Ltd. and balance securities shall be transferred to the respective client's demat account"

Shortages in Obligation and Internal Auction:

The stock broker shall not be obliged to deliver any securities or pay any money to the client unless and until the same has been received by the stock broker from Exchange, the clearing corporation/house or other company or entity liable to make the payment and the client has fulfilled his / her /its obligation first. In case of default in security pay in by the client and the shortage is at member level i.e. internal shortage then the benefit calculated at the next day's closing rate after pay-out day shall be recovered from the defaulting client and passed on to the respective beneficiary client. In case of the default of securities pay-in by the client and the shortage is from the exchange, auction value of the respective exchange plus penalty (decided by the member from time to time) plus brokerage and other statutory charges shall be recovered from the defaulting client. In the case of funds default by the client, the member shall be liquidating the stocks to recover the money. Any shortfall arising out of liquidating securities by the members shall also be recovered from the defaulting client along with interest (decided by the member from time to time).

Restrictions/Prohibition to take further position or closing existing position:

Under any of the circumstances, such as, client's failure to meet pay-in or margin obligations or clearance of outstanding/debit balance with broker before permissible time limit or beyond such period as may be allowed by broker as per its RMS policy, the Client may not be permitted to take any fresh or further position until the full clearance of earlier dues, obligation, outstanding etc. Even, broker can firstly set-off or adjust the payment or securities towards various dues and obligation of the client and until the full clearance of the same, shall not allow the client to take further / fresh position. Further, it would be the duty of the client to monitor his/her/its position with the Broker from time to time. In case of any delay or failure in meeting any obligation, margin requirements etc. from client side, broker might close the existing position or open position WITHOUT ANY FURTHER INTIMATION to the client as per RMS policy. Notwithstanding anything contrary stated in the KYC documents, the stock broker in its absolute discretion, shall impose such restriction(s) or prohibit the client from taking further position or close out existing position or terminate broker – client relationship, in happening of any of the following or under any of the following circumstances which may include without any limitation,

- (i) failure to meet pay-in obligation on T+1 day;
- (ii) delay in meeting the pay-in or margin requirement;
- (iii) delay or failure in clearance of outstanding or dues to the broker;
- (iv) returning or frequent returning of cheque(s) of the client;
- (v) Unnecessary / Unwarranted dispute from client without any substantial cause / reason;
- (vi) Client's attitude of not coming to the amicable settlement for any dispute that can be settled without involvement of Exchange and /or SEBI;
- (vii) As per prevalent surveillance action or RMS policy of the Broker from time to time;
- (viii) Any direction from SEBI/Exchange or such other authorities, or any commencement of legal process against the client under any law in force;
- (ix) On the death/lunacy or any other disability of client;
- (x) If a receiver / liquidator / administrator has been appointed or allowed to be appointed for all or any part of the undertaking of the client;
- (xi) If the action of the client is/are prima facie illegal / improper or such as to manipulate the price of any

securities or disturb the normal / proper functioning of the market, either alone or in conjunction with others;

- (xii) If the client has voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers to itself to the BIFR or under any other law providing protection as a relief undertaking;
- (xiii) In case of partnership client, the partnership has taken any steps for dissolution of partnership;
- (xiv) If the client has made any material misrepresentation of the facts, including without limitation in relation to the funds and/or security;
- (xv) If there is reasonable apprehension to the Stock broker that the client is unable to pay his/her/its debts or has admitted its inability to pay, as they become payable;
- (xvi) If the client suffers any adverse material change in his/ her/its financial position or defaults in any other agreement or understanding or obligation with the stock broker;
- (xvii) If any covenant or warranty of the client is incorrect or untrue in any material respect;
- (xviii) Under such other circumstances as the Broker might think just and proper on case to case basis.

Suspension / Deregistering of Client Account:

The Broker and/or client may suspend Client's Trading Account from further dealing in the securities market through the broker in following circumstances:

- (a) as per Client's prior written request of at least 3 days submitted to Broker at its Ahmedabad H.O. duly acknowledged by Broker (subject to clearance of entire outstanding/obligations) subject to client accepting / adhering to conditions imposed by the stock broker including but not limited to settlement of account / release of holds and/or other obligation;
- (b) Dormant or in-active status of client account beyond specified time limit as may be prescribed by Broker from time to time,
- (c) Under any circumstances mentioned in (i) to (xviii) above.

Policy for Dormant / In-active account:

As per Broker's RMS policy, the account in which no transactions has took place during the period of not less than 12 months

(presently 12 months) or such other period from the date of last transaction, the same shall be considered as Dormant / In-active account.

To designate the client's account as Dormant/ In-active account, the period of 12 months shall be counted from the last transaction took place. In case Broker treats the account of client as a dormant / in-active account, the funds or securities lying with the broker shall be refunded / returned to clients immediately on demand by the client. In order to reactive the account, client needs to instruct the Broker in writing atleast 2 days in advance at its Ahmedabad H.O. The Broker will try to promptly reactivate the said account subject to fulfillment of such conditions as Broker may consider fit and proper and on submission of documents as required by the exchange. and on submission of documents required by the Exchanges. However, Broker may , in its own discretion, waive / reduce the period of 2 days as the circumstances may warrant on case to case basis.

Client's acceptance of policies and procedures stated herein above:

I / We have thoroughly read and fully understood the same and do hereby sign and agree not to call into question the validity, enforce ability and applicability or any provision/clauses of this document under any circumstances what so ever. These policies may be

amended / changed unilaterally by the stock broker provided the change is informed to me/us through any one or more means / methods such as post / speed post courier / regd. post / regd. ad / facsimile / telegram / e-mail voice-mail / telephone (telephone includes such devices as mobile phone etc.) including SMS on the mobile phone or any other similar device / by messaging on the computer screen of client's computer / informing the client through the employees / agents / sub-brokers / authorised persons of the stock broker / by publishing or displaying it on the website of stock-broker / making it available as a download from the website of the stock broker / broad-cast / newspaper advertisement etc. or any other suitable or applicable mode or manner. I / We agree that the delivery shall be complete when communication is given to the postal department / courier company / the e-mail/voice mail / SMS service provider etc. by the stock broker and I / We agree never to challenge the same on any grounds including the delayed receipt / non-receipt of any other reason what so ever. These policies and procedures shall always be read along with other provisions of the KYC mandatory documents and shall compulsorily referred to while deciding any disputer / difference or claim between me/us and stock broker before any court of law / arbitrator or mediator / judicial / adjudicating authority etc.

Date :

--	--	--	--	--	--	--	--	--	--



Signature of Applicant

Branch Client
 Brokerage : Code :

TARIFF SHEET

	1st Leg		2nd Leg (Sam Day)		Any Day Side	
	% age	Min.	% age	Min.	% age	Min.
Trading						
Delivery						
F&O						
Call-Option						
CDS						
Commodities						
SLB						

Notes :

- (1) Brokerage will be charged within the limits prescribed by SEBI/Exchanges and in %age on transaction value basis as shown above.
- (2) Brokerage will be charged on per share basis, rounded off to nearest 2 to 4 decimal points as per back office software of the stock broker and then multiplied with the total number of shares, in order to calculate total brokerage per transaction payable by the client.
- (3) Apart from Brokerage as agreed with you and as disclosed here in above, various other statutory levies at actual from time to time like Stamp duty, Security transaction tax, SERI fees, Exchange transaction charges and other charges if any, are levied as applicable from time to time.
- (4) JBS Securities Pvt. Ltd. reserves the right to revise the brokerage structure, by publishing / displaying the same on its website **www.jbsindia.in**
- (5) JBS Securities Pvt. Ltd. may levy additional charge for any additional / extra service sought by you.

Client's Name : _____



Signature of Client

Date : - -

JBS SECURITIES PVT LTD (DIP12083000)
CHARGES FOR DEPOSITORY SERVICE THROUGH CDSL

Sr. No.	DESCRIPTION	NORMAL SCHEMES	BSDA SCHEMES	LIFE TIME SCHEMES	BAROT SCHEMES
1	Account opening charges	NIL	NIL	NIL	NIL
2	Power of Attorney (POA) Charges	Rs. 300/- (Cheque)	Rs. 300/- (Cheque)	Rs. 300/- (Cheque)	Rs. 300/- (Cheque)
3	Advance Annual Maintenance Charges	Rs. 250/- + Tax for Individual, Rs. 650/- + Tax for Corporate	Up to Rs. 400000/- = 0. More than Rs 400000/- tio Rs 1000000 - Rs 100/-	Rs 1000/- + Tax For Individual & Corporate	Nil
4	Off-Market/Market-Buy	Nil	Nil	Nil	Nil
5	Off-Market/Market-Sale	Rs 50/- Per Txn.	Rs 50/- Per Txn.	Rs 50/- Per Txn.	Rs 50/- Per Txn.
6	Inter Depository Sale	Rs. 25/- or 0.03% of the market value per Txn (whichever is higher)	Rs. 25/- or 0.03% of the market value per Txn (whichever is higher)	Rs. 25/- or 0.03% of the market value per Txn (whichever is higher)	Rs. 25/- or 0.03% of the market value per Txn (whichever is higher)
7	Same Day Pay-in	Rs. 25/- Per Txn.	Rs. 25/- Per Txn.	Rs. 25/- Per Txn.	Rs. 25/- Per Txn.
8	Custodian Charges	Nil	Nil	Nil	Nil
9	Demat Charges	Rs. 90/- Postage + Rs. 10/- Per Certificate	Rs. 90/- Postage + Rs. 10/- Per Certificate	Rs. 90/- Postage + Rs. 10/- Per Certificate	Rs. 90/- Postage + Rs. 10/- Per Certificate
10	Remat Charges	Rs. 15/- Per Certificate + Rs. 10/- Per Certificate	Rs. 15/- Per Certificate + Rs. 10/- Per Certificate	Rs. 15/- Per Certificate + Rs. 10/- Per Certificate	Rs. 15/- Per Certificate + Rs. 10/- Per Certificate
11	Demat Charges (a) Creation, (b) Closure, (c) Invocation	Rs. 30/- Per Txn.	Rs. 30/- Per Txn.	Rs. 30/- Per Txn.	Rs. 30/- Per Txn.
12	On Demand - Additional Holding / Transation	Rs. 10/-	Rs. 10/-	Rs. 10/-	Rs. 10/-
13	Account Closing Charges	NIL	NIL	NIL	NIL

Notes:

1. For availing 'Easiest' facility of CDSL, the charges as levied by CDSL would be collected from clients at actuals.
2. Services tax, Education cess and other statutory levies (if any) would be charged extra wherever applicable as per prevailing rates.
3. Any request for change/modification in client master will be charged Rs.25/- per request.
4. in case of cancellation/withdrawal/rejection/failure of instruction Rs.30/- per entry will be charged additional.
5. We reserve rights to change/add charges with 30 days prior notice.
6. All payments should be in favour of 'JBS Securities Pvt Ltd'.
7. Any charges/penalty debited in demat A/c of BO can be recovered from his/her/its trading A/c by passing JV.
8. Additional charges for any services not mentioned in this tariff.
9. Penalty or charges levied by regulator for any action/deed of the BO will be beared by B0.
10. Demat charges and/or AMC charges may be debited in our trading account maintained with us

Accepted by the Client:

I have accepted above charges structure.
Client ID: _____

WANT to opt for RGESS
Demat Account YES ☐ NO ☐

WANT to opt for Basic Service
Demat Account YES ☐ NO ☐

Sign. _____

Sign. _____

Name of Holders and Signatures:

	Name of Holders	Signatures
Sole / First Hoder		
Second Holder / Guardian		
Third Holder		

TERMS AND CONDITIONS-CUM-REGISTRATION / MODIFICATION FORM FOR RECEIVING SMS ALERTS FROM CDSL

FOR DEMAT ACCOUNT

DEFINITIONS :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

AVAILABILITY:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO

agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

RECEIVING ALERTS:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL atcomplaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.

7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

FEES:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

DISCLAIMER:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

LIABILITY AND INDEMNITY:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of

or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

FREEZING / DEFREEZING OF ACCOUNTS :

"The joint holder are aware that in case of any Statutory Order for freezing any one joint holder, the demat account will be frozen and the other joint holders will have to obtain a specific order for unfreezing their percentage of joint ownership by submitting the relevant documentary proof to the Order issuing authority."

AMENDMENTS:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

GOVERNING LAW AND JURISDICTION:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/ We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP. I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID :

1	2	0	8	3	0	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

(Please write your 8 digit client ID)

First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91											
-----	--	--	--	--	--	--	--	--	--	--	--

(Please write only mobile number without prefixing country code or zero)

The mobile number is registered the name of : _____

E-mail ID : _____
(Please write only ONE valid email ID on which communication; if any, is to be sent.)

B. O. SIGNATURE

 _____
First / Sole Holder

 _____
Second Joint Holder

 _____
Third Joint Holder

Place : _____

Date : _____

Voluntary Document No. 1

FOR SMOOTHER ACCOUNT OPERATION

TO,
JBS Securities Pvt. Ltd.

(Member of NSE)

I / WE UNDERSTAND THAT THIS IS PURELY A VOLUNTARY DOCUMENT AND SHALL FORM PART OF THE NON-MANDATORY DOCUMENTS OF MY KYC FORM. IN CASE IF ANY OF THE CLAUSES OF THIS DOCUMENT SHALL DILUTE YOUR RESPONSIBILITY AS A STOCK BROKER OR IN CONFLICT WITH ANY OF THE CLAUSES IN THE MANDATORY DOCUMENTS, RULES, BYE-LAWS, REGULATIONS, NOTICES, GUIDELINES AND CIRCULARS ISSUED BY SEBI AND THE STOCK EXCHANGES FROM TIME TO TIME, THE SAME SHALL STAND NULL AND VOID.

1. I / We (herein after referred to as Client at some places) do hereby declare that I am / We are regular investor in the stock markets in India and as such I am / We are conversant with the laws, practices, rules, regulations, guidelines, circulars, notifications etc. prescribed by Securities & Exchange Board of India (SEBI), National Stock Exchange of India Ltd. (NSE).
2. I / We wish to transact through JBS Securities Pvt. Ltd. (herein after referred to as JBS / Stock Broker / Member / you) and as such this document will operate for any / all / both of the segments of NSE and/or whether CM Segment, F&O Segment and/or Currency Derivatives Segment or such other segment as the JBS may become a member, in future, of these Exchanges and when I / We become client of that / those segment(s).
3. I / We understand and agree that you can debit my/our accounts either for any additional services provided by you as per my / our oral requests depending upon opening of my /our relevant account(s) or as may be required in compliance with applicable provisions of law.
4. I / We further declare that I am / We are aware of the illegal practices, if any, that may be prevalent in the Stock Market and I / We assure you that I / We will not indulge into the same.
5. I / We further declare that I / We will not carry out any unfair trade practices such as Synchronized Deals, Structured Deals, Circular Trading, Insider Trading in the Capital Market and / or F&O Market and /or Currency Derivatives Market.
6. I / We further declare and confirm that I / We will not place any order or carry out any trades / transactions on the Exchange which will reflect as an arrangement for profit or

loss transactions or cross deals. Further, all the orders placed on the Exchange through you, will be in the normal market at normal / prevailing market prices and only in Liquid Securities / Scrips and not at unrealistic prices where there is corresponding underlying securities positions in the cash or futures segment of the Exchange. In case, any of my / our transactions being declared as violative to any rules / regulations / byelaws / circulars / directions / guidelines etc. of the Exchange / SEBI / SCRA. I / We will be solely responsible for all penalties / charges / damages etc. levied from you by the Exchange and accordingly do hereby undertake to reimburse/ pay the same to you.

7. I / We further declare and confirm that the shares / securities provided / being provided to you are generally for the purpose of availing exposure limits / margin limits / towards collaterals in CM and F&O Segment of the Exchange.
8. I am / We further declare that as on the date of registration as your client, I am / We are eligible to transact in the securities market as per applicable rules, regulations, byelaws, circulars, guidelines etc. of SEBI, NSE and have not been banned / restrained to transact in the securities market by any Exchange or statutory authorities and whatever permissions / prior permissions, as may be required from government authority(ies) have been obtained by me/ us.
9. I / We further declare that I / We will not give any third party shares for settlement of my/our obligations to the Exchange. I / We further understand and agree that, for any reason, if any third party delivery is delivered to you, without prejudice to your right to reject the same upfront and without my/our notice / intimation or without your right to levy any further charges / auction charges / penalties upon me/ us as you may think fit and proper, at my/our sole risk, you can take such action as you may deem fit and proper including but not limited to suspension of my trading code or withholding of funds and/or securities.
10. I / We further declare that I / We will not receive or give any monies in cash or in kind for completing the settlement obligations, either pay-in or pay-out or margin etc. to the Exchange.
11. I / We declare and confirm upfront that the securities delivered, if any, towards margin / collaterals / pay-in obligation etc. shall absolutely be free from any lien / encumbrances of whatsoever nature.
12. I / We have been informed by the trading member that in case the trading member does not receive any bounced mail or delivery failure notice from my/our side in case of ECN, it would tantamount to successful

delivery of the contract notes and other documents.

13. Considering my / our account to be maintained on Running Account basis, I / We also request you, subject to settlement on monthly or quarterly basis as per my / our wishes, to consider the balances in my / our running funds and securities account with you for the purpose of margins / any other obligations due to you. In view of the above it would be proper for you to release the funds due to us/me on our/my specific request, either written or oral and only to the extent demanded by me/us.

I / We will make payments to you for bills raised by you or by way of journal voucher entries or other accounting entries in my/ our client ledger maintained in your books of accounts.

14. (a) Notwithstanding anything contrary contained in the KYC documents between us, I/We hereby inform you that I/We have opened / desired to open Trading Account with JBS as a member of NSE such other exchanges expressly shown by me/us in KYC form. Accordingly, if permissible by exchange(s), I/We hereby request you to transfer, make adjustments and/ or to set off, a part or whole of the securities placed as margin and/or any surplus funds in any of my/our account/ (s) maintained with you against the outstanding dues payable if any, by me/us in any of my/our account(s). JBS / Stock Broker will have right to lien on the credit balance(s) in any of my/our accounts. Any entries passed by you in accordance with this authorization shall be binding on me/us. I/We am/ are agreeable that if you can consider, as per your RMS policy from time to time, the credit balance of funds and shares be treated as margin for both cash and derivatives segment. I am / We are also agreeable for transfer of credit balances in margin account / client account of cash segment towards adjustment debit balance in margin account / Client account of derivatives segment and vice-versa. I am / We are also agreeable for transfer of credit balances in margin account / client account of cash and derivatives segment of (NSE) against debit balance in margin account / client account of cash and derivatives segment of. I am / We are agreeable for inter-settlement transfer of securities towards pay in/ pay out of securities in the future/past settlements. I am / We are agreeable for and authorise you to withheld funds and / or securities of pay-out towards all the applicable margins and debits.
- (b) In consideration of you- the Stock Broker agreeing to this, I / We the Client agrees that if

any amount is overdue from me /us, you may charge delayed payment charges as per your RMS policy from time to time and thus, the over dues including the interest on delayed payment, shall be adjusted by you from dues to be payable to me / us to the extent of debit/ obligation. Thus I/ We as your Client authorizes you - the Stock Broker to make adjustments and/or to set off a part or whole of the securities placed as margin/collateral and/or any credit in any account as a Client so maintained with you or against the outstanding dues in any of the account maintained by me/ us with the Stock Broker to the extent of debit/obligation. I/We further authorize you - the Stock Broker to sell the securities to the extent of debit/obligation to recover any dues payable to the extent of debit/obligation by me/ us to the Stock Broker. This authorization shall be treated as standing authorization and any entry passed or adjustments done by you - the Stock Broker to give effect to this authorization shall be binding on me / us as your Client. I / We, as your Client understands and confirms that this authorization forms basis for you as a Stock Broker to give trading limits / exposure limits, and for any other facilities offered by you to me / us. I / We also understand that according to the rules byelaws and regulations of the exchange and SEBI, you -the Stock Broker, are required to maintain segmentwise / exchange-wise account of the Client.

- (c) I/We do hereby agree and declare that I am / We are aware that the Exchanges require the Stock Broker to maintain the client account for each Exchange/ segment separately. For this purpose Stock Broker may allocate the payment received from client and payments made to the client to any of the account of the client for any exchange/ segment. Similarly the client authorizes the Stock Broker to pass such entries to adjust the debit or credit balance of the client in trading account of or Exchange/segment to the other or vice versa. The client also authorizes the Stock Broker to maintain consolidated account of the client to facilitate the day-to-day operations. Such consolidation and segregation of client account as maintained by the Stock Broker shall be binding on the client. Without affecting the generality of the aforesaid, I / We and you - JBS i.e. the parties hereto agree as follows:

- (i) Money pay-in to Stock Broker: The client agrees that all payments due to the Stock

Broker will be made within the specified time and in the event of any delay, the Stock Broker may refuse, at their discretion, to carry out transactions on behalf of the client. The client agrees that alternatively, the Stock Broker may square off such transactions or close-out the position and the costs/losses if any, thereof shall be borne solely and completely by the client. All payments made to the Stock Broker shall be from the account of client and shall not be from any third party. It is also agreed and confirmed that the stock broker as informed me / us that third party delivery / cheque will NOT be accepted but if Stock Broker has inadvertently accepted any third party payment given by me/us directly to the bank A/c of Stock Broker, without its knowledge or information, the same shall be considered as sole bonafide purpose of the Client so as to meet any obligation of the client and no claim of such payment shall lie of the person from whose bank account the payment has been made and the client agrees and confirms the sole responsibility of what so ever nature, if any, imposed upon the stock broker by Exchange or any other authority.

(ii) Money pay-out by Stock Broker: Notwithstanding anything contained in any other clause, if any, between the parties hereto, the client hereby authorises the Stock Broker to release all payments due to him from the trading account maintained with the Stock Broker, against specific request in that behalf made in the manner and following the procedure laid down in the terms and conditions. For the purposes of this clause, any request made through or on the web-site or otherwise intimated shall be sufficient for the Stock Broker to execute an instruction. Any payment made to the designated bank account or in the name of the client as per information available with the Stock Broker shall be deemed to be payment made to the client.

(iii) Securities pay-in to Stock Broker: Any delivery to be effected to the Stock Broker for a trade, must be made well within the prescribed time limit as per settlement schedule of the exchange. However, stock broker may accept or reject any late delivery than as stated above. Losses, if

any that may accrue in the event of default in completing the delivery on the Exchange by the Stock Broker as a result of any delay in the delivery by the client, shall be borne solely and completely by the client. Losses for the purposes of this clause shall include auction debits/penalty charges, if any incurred as a result of non-delivery of securities on the settlement date on the Exchange. No third party shares will be sold through the Stock Broker or third party payment should be made to Stock Broker and client will be solely responsible for any violation. If the client has sold any securities in anticipation of receipt of securities from the exchange against purchase in previous settlements, such sale shall be at the sole risk as to costs and consequences thereof of the client.

(iv) Securities pay-out by Stock Broker: The Stock Broker may directly credit the demat account of the client with the depository participant Provided that if the order placed by the client through the web-site or otherwise is for securities which are in the no-delivery period, such securities shall be credited to the trading account of the client only at the time of settlement of trades, as per the schedule of the Exchange. However, if any sum is due from the client the Stock Broker may withhold the credit of securities to its own beneficiary demat account or the demat account of the client.

(v) Securities shortages in pay in and pay out: The client agrees to be bound by the guidelines, including the rules pertaining to the adjustment of shortages in the client's position in securities transacted on behalf of the client, by the Stock Broker, either through orders placed through the use of the IBT or e-broking services and dial-up services of the Stock Broker or otherwise as may be issued by the Stock Broker from time to time. In case of internal shortage of securities, any entry passed to the account of Client in accordance with practice consistently followed by the Stock broker across all its Clients as may be consonance with stock exchange market / practices, shall be binding on the Client. Further in case of shortage of securities, the Client authorizes the Stock Broker to borrow shares on behalf of the client and

debit the charges for the same to the Client's account maintained with the Stock Broker. (vi) Stock Broker is entitled to consider any sum or money or security lying to the credit of the Client as margin received.

15. I/We have a beneficiary account with JBS DP bearing demat account no. _____ I/We find it extremely inconvenient to give cheques against your depository bills. Hence, I / We hereby authorise you, subject to applicable provisions, if any, to debit the trading account maintained with you for the debit charges payable by me/ us to you as a DP for providing depository services to my/ our trading account balances.
16. As regard the placement of orders, although you had insisted on written instruction for placing orders, considering the practical difficulties faced by me /us in complying the same, I / We would request you to accept orders placed by any other means other than written. Further note that, I/We do not require any order/trade placement or confirmation or modification or cancellation slips.
17. I / We have no objection if due to punching errors you might have to change the client codes for trade done as the circumstances may warrant, as per the rules and regulations of the Exchange.
18. I am / We are aware, agree and confirm that for nonpayment of any margin money / pay-in obligations, you -the stock broker may sell all / any of the securities held by broker towards margin / collaterals /credit balance lying in my/ Our client's account or may square-up all/any open position to fulfill these requirements to the extent of Debit Balance or Obligation as the circumstances may warrant.
19. You being a Member disclosed that you are doing proprietary trading along with client Business and as such I/We have noted the same.
20. I / We specifically consented not to Indulge into any off-market transactions leading to dubba-trading.
21. I / We have also noted the details of your compliance officer including your designated E-mail ID for complain /grievances redressal.
22. I/We shall not indulge in any sub-broking activities nor issue bills /contracts/confirmation notes to anyone else for trades done in our client code without obtaining SEBI or Exchange Registration in my/our name.
23. I / We as your client agrees that you (stock broker), shall not be liable or responsible for non execution of orders placed through trading terminals / website or through any other mode due to the failure of any system or link out side your control or any other reason whatsoever or for non-performance of your obligations

due to any reason whatsoever including but not limited to natural calamities or civil commotion, war, strikes, government/regulatory action, exchange or market rulings, suspension of trading. I / We are aware and agree that you (stock broker) also reserves the right of refusing to execute any particular transaction in your absolute discretion if you found the same to be violative to any provisions or is/are not in consonance with your RMS policy.

24. I / We as your client agrees that any failure by you as a Stock Broker to exercise or enforce any rights conferred upon you by this document/or as per KYC document executed between us, shall not be deemed to be a waiver of any such rights or operate so as to bar the exercise or enforcement thereof at any subsequent time or times.
25. I / We, as a Client shall be responsible for all orders, including orders that may be executed without the required margin, whether or not you as a Stock Broker intimated me /us about such shortfall in margin, shall instantaneously make up the shortfall either through delivery of shares in the event of sale or credit the required fund in the bank account via personal cheque or money order or account transfer or any other mode accepted to you as per your RMS policy from time to time.
26. I / We as a client, hereby agrees and indemnifies, and shall always keep you (Stock Broker) indemnified against all such action and / or claims, costs, charges damages, losses and expenses which may be incurred, suffered and / or sustained by you (Stock Broker) due to my/our mistake or non-compliance of any rules, regulations, circulars or other provisions or with respect to any third party cheque(s) and / or delivery of shares, which may be given by me / us as your client to be credited in my/our account as your client.
27. I / We as your client do hereby agrees with respect to Compliance with prevention of money laundering act, 2002 (PMLA), as amended till date and from time to time and rules, regulation and guidelines framed hereunder and confirms that all the information which may be required by you (stock broker) to enable you to comply with the provisions of the PMLA and the rules, regulation and guidelines framed there under has been disclosed including issues related to "beneficial ownership", if any and also undertakes to promptly provide the same on an on-going basis whenever required. As also I / We hereby agree to keep you (Stock Broker) informed of any change in the information earlier provided in/ through Know Your Client Form or otherwise in this regard immediately in writing.
28. All fines / penalties and charges, exemplary damages etc. levied upon you due to my / our acts / deeds or transactions including illegal acts or unfair trade practices etc. as may be levied by the Exchanged) and suffered by the Member, shall be passed on to me / us

and recovered by you from my / our account either by way of debiting the same or otherwise.

29. If permissible under other provisions of KYC documents executed between us or as per rules, regulations, bye-laws, circulars or guidelines or other provisions of Exchanges and/or SEBI, I am / We are aware that you may charge delayed payment charges by whatever name called.
30. Close out in case of internal shortage: I / We, your Client hereby agrees that if I / We have short delivered any securities against my / our pay-in obligations which resulted into internal shortage i.e. buys position of another client of the stock broker and couldn't be auctioned in the market than close out price @ 18% or such other percentage being generally applied by the Stock Broker for other clients, above the closing price on the Auction Day or Closing price of first trading day of the settlement whichever is higher. I / We further specifically agrees that without prejudice to the Member's other rights including the right to refer a matter to arbitration, the member shall be entitled to liquidate / close out all or any of my / our open position. Any and all losses, financial charges and /or incidental expenses incurred by the member on account of such liquidation / closing out shall be reimbursed by me / us or deductible by the member from the monies and /or collateral margin of mine/ ours, brokerage of the sub-broker available with the member.
31. I / We consent to adjust debit / credit balances in between my / our various accounts viz. F&O Initial / Upfront Margin Account, F&O MTOM Account, CM Segment Account, Margin Account or such other accounts maintained with you pursuant to opening of this trading codes with you. The same can be done in your books of accounts by passing JV Entries or in such other manner as may be permissible.
32. Sharing of Information : I / We agree to immediately furnish information to the member in oral / writing or the member suo-moto (on its own) in receipt of such information in the event that is likely to have an adverse effect of my / our financial position or any restrictions or adverse findings or actions, if any, by any statutory authority. Upon receipt of such information, the member shall be entitled to take such action as it may consider necessary in order to protect its own interest, including without limitation, liquidation / closing out all / any outstanding positions of mine / ours. Any and all losses, financial charges and /or incidental expenses incurred by the member on account of such liquidation / closing out shall be made good or reimbursed by me / us to the member.
33. Investment Advice : The Client acknowledges that the Member shall not be liable to provide him with any legal, tax, investment or accounting advice or advice regarding the suitability or profitability of a security or

investment. The Client also acknowledges that the Member's Employees are not authorized to give any such advice and that the Client will not solicit or rely upon any advice from member or any of its employees. The Client agrees that in the event of the Member or any employee or official of the member providing any information to the client, he / she / it may act upon the same at sole risks, costs and consequences and the member shall not be liable / responsible for the same. The client further agrees to always keep himself/herself/ itself abreast of all requirements to be complied with by him/her/it under various laws including Foreign Exchange Management Act (FEMA), 1999 wherever applicable and the rules, regulations, directions, circulars, notifications, guidelines etc. issued under or pursuant to the relevant laws from time to time.

34. The Client shall not hold JBS responsible for any losses incurred on account of failure of System/Risk Management System/Network Congestion/Loss of Connectivity from Dealing Office / Place to JBS or from JBS to the Exchange.
35. Restrictions on Regulation of Dealings: The Stock Broker shall at its discretion decide, from time to time, the volume of business which the Client (introduced directly or by the sub-broker or authorised person) may transact during any trading day on NSE exchange wise or segment wise or in combine. Notwithstanding such as an understanding / arrangement, the Stock Broker shall have absolute discretion to reduce the volume of business of the Client or restrict dealings by the Client as per RMS policy from time to time, inter-alia, having regard:
 - (i) to the volatility in the market;
 - (ii) in view of impending price sensitive announcements;
 - (iii) any restrictions in relation to volume of trading / outstanding business / margins stipulated by the Exchange / Stock Broker, political instability in the Country etc;
 - (iv) presence of any other price sensitive factors;
 - (v) failure by the Client to provide / maintain the applicable collateral/margin; and/or
 - (vi) delays by the Client in meeting his/her/its obligation / dues relating to the business / dealings done under this present.
36. REPRESENTATIONS AND WARRANTIES:
 - (1) I / We agree that I / We have the required legal capacity and am/are authorized to enter into agreement and am/are capable of performing my/ our obligations and undertakings pursuant to

this KYC Form submission and allotment of Client Code by the Stock Broker/JBS

- (2) Any instructions given by my/our Authorised representative to you -the Stock broker or to Stock Broker's representative, shall be binding on me/us.
- (3) I/We specifically agree that I am / We are trading for my/our own account and shall not act as a Sub-broker of the Stock Broker without the prior written permission of the Stock Broker and without obtaining the certificate of registration from the Securities and Exchange Board of India (SEBI) and/ or respective Exchange(s).
- (4) I / We specifically agree and confirm that all details about me/ us as may be provided vide this KYC form are true and correct and complete in all respect as per my / our knowledge and belief. I/We hereby give this declaration to you without any coercion, with sound mind and voluntarily to you which shall be part of my Client Registration Form and In case any information / details found to be false / untrue / misleading / misrepresenting, I am / We are aware that I/We may held liable for it. I / We understand and am / are aware that the aforesaid consents are purely voluntary and have been given to you for smoother operations of my / our client account with you as our broker. Further, these standing instructions are valid from the date the client /trading account is opened with you and will be valid and operational until revoked by me /us.
37. I/ We are aware and agree that as per the SEBI circular no. CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated 20.06.2019 that JBS Securities Pvt. Ltd. shall transfer the securities bought by me/us received in pay-out, to my/our demat account within 1 working day if clear payment is received from the client and if I/We fail to make the payment in full then JBS Securities Pvt. Ltd. shall transfer the securities to the "client unpaid securities account (CUSA)". I/We further agree and confirm that, the securities kept in the CUSA shall either be transferred to my/our demat account upon fulfilment of my/our funds pay-in obligation or it shall be disposed of in the market by JBS Securities Pvt. Ltd. within 5 trading days after the date of pay out without any notice to the client to the extent of debit balance of the client and/or as per the Risk Management policy of JBS Securities Pvt. Ltd. and the balance securities shall be transferred to the respective client's demat account. Any profit/loss occurred on such sale transactions of unpaid securities, will be borne by me/us and transferred to/ adjusted in my/our ledger. If any such stock will remain in CUSA due to my/our default, JBS Securities Pvt. Ltd. may charge a fine/penalty as levied by the exchange/ depositories to me and I/ We shall not object the same.

38. Authorised Representatives:

- a) The trading and other instructions for facilitating and carrying out business issued telephonically or through any other means either express or implied by me/us or by my/our authorized representative shall be binding to me/us.

- b) I/We hereby authorize my/our representatives _____ and/or _____

to trade and transact in securities for and on behalf of me/ us including to collect physical contract notes, cheque, statements etc. In the event of authorized representative(s) being replaced it shall be my/our responsibility to inform you of the change in writing failing which I/We shall be responsible for the obligations arising out of the actions of both the representative(s) - old as well as new.

- c) If any transaction under this agreement or under any other agreement or otherwise with you have been executed on behalf of me/us by any other person not mentioned above and the same has/have been accepted by 'me/us from time to time on the basis of the contract note(s)/bills/any other correspondence dispatched/ communicated to me/us by you and/or by part or full settlement of the said transaction(s) by me/us, then such transaction(s) shall be deemed to be executed by the person authorized by me/us and I/We hereby agree to ratify and accept all such or other actions of such persons and undertakes to meet all obligations arising from these transaction(s).

- 'd) I/ We shall be bound by all the transactions undertaken by you pursuant to the instructions of the above said persons. I/We hereby agree that you or the exchanges shall not be liable for non-execution of any order caused due to suspension, interruption, non-availability or malfunctioning of the online as well as off line trading services or the exchange system or services for any reasons whatsoever.

39. I/We have permitted you to provide contract notes and other documents in electronic form authenticated by means of a digital signature in substitute of the physical contract notes/statement of accounts, settlement statement of funds and securities, daily margin reports and other documents etc. through E-mail. For this purpose I/We have provided E-mail address on the KYC form. However you may at its discretion, continue to issue contract notes in physical form instead of ECN.

40. I/ We agree and allow you to apply appropriate haircut on securities given for margin purpose in any segment/ exchange which may be as a flat rate on all securities or may be additional percentage on the rate of the exchange from time to tie.

Date : - -



Signature of Client

Voluntary Document No. 2

FOR RUNNING ACCOUNT AUTHORIZATION

To,
JBS Securities Pvt. Ltd.
(Member of NSE)

I/WE UNDERSTAND THAT THIS IS PURELY A VOLUNTARY DOCUMENT AND SHALL FORM PART OF THE NON MANDATORY DOCUMENTS OF MY KYC FORM, IN CASE OF THE SAME SHALL DILUTE YOUR RESPONSIBILITY AS A STOCK BROKER OR IN CONFLIT WITH ANY OF THE CLAUSES IN THE MANDATORY DOCUMENTS, RULES, BYEOWS, REGULATIONS, NOTICES, GUIDELINES AND CIRCULARS ISSUED BY SEBI AND THE STOCK EXCHANGES FROM TIME TO TIME, THE SAME SHALL STAND NULL AND AVOID.

(1) About Voluntary Document:

I/We do hereby declare and confirm that I/We have thoroughly read and understood the voluntary document attached which forms part of non-mandatory documents of this KYC form and do accord consent for the same.

(2) About Running Account Authorisation (for Funds only):

I/We do hereby specifically declare and confirm that my/our account is to be maintained in Running Account mode and as such request for the same. I/We also specifically understand and agree that :

- (a) Running account authorisation is now restricted to funds only and not for securities.
- (b) This is only a voluntary authorization that maybe revoked by me at anytime.
- (c) This authorization shall remain in force until and unless the same is revoked by me.
- (d) This authorization is signed by me/us only and not by my/our authorized person/POA holder/
- (e) For my/our outstanding obligation/balance on the settlement date, you may retain/funds towards such obligations and may also retain the funds excepted to be required to meet margin or other obligations in compliance with applicable circulars, guideline, rules, regulations, byelaws etc, of the Exchange and/or and/or SEBI and as may be calculated in the manner specified by the exchanges.
- (f) Any dispute from my/our end, arising from statement of account or settlement, shall be brought to your notice in writing by me/us within 30 days of receipt of funds / securities / statements as the case may be.

- (g) Periodic settlement of running account may not be necessary for or funds received towards collaterals/margin in form of Bank Guarantee Fixed Deposit etc.
- (h) In case of my/our request/demand you shall transfer the funds/securities within 1 working day if lying with you or within 3 working days of lying with Exchange / Clearing House.
- (i) There shall not be any inter-client adjustments for the purpose of settlement of my/our running account.

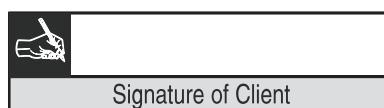
(3) As per the requirement of SEBI circular SEBI/HO/MIRSD/DOP/P/CIR/2021/577 June 16, 2021, the settlement of running account of funds of the client shall be done after considering the End of the day (EOD) obligation of funds as on the date of settlement across all the Exchanges, at least once within a gap of 30 / 90 days between two settlements of running account as per the preference of the client. (may retain funds calculated in the manner specified below:

1. Entire pay-in obligation of funds outstanding at the end of the day on settlement of running account, of T-day & T-1 day.
2. Margin liability as on the date of settlement of running account, in all segments and additional margins (maximum up to 125% of total margin liability on the day of settlement). The margin liability shall include the end of the day margin requirement excluding the MTM and pay-in obligation, therefore, TM may retain 225% of the total margin liability in all the segments across exchanges.

(4) About SMS on mobile by Exchanges.

I/We do hereby declare and confirm to receive SMS about my/our position, margin, ledger balance and such other brief information as may be voluntarily sent/provided by you on my / our mobile as mentioned in KYC form. In case of any charge therein, I/We shall promptly communicate in writing to you about the same.

I/We do hereby declare and confirm that the details of e-mail id and mobile no. as may be provided by me/us in KYC pertains to me/us or to my/our family members only. (Family members means my spouse, dependent children, dependent parents only) accordingly, I/We do hereby request you to upload the same as a part of UCC/UCI details to respective exchange(s).

 Signature of Client

Date : - -

that the aforesaid stock broker shall lawfully do or cause to be done by virtue of this authorization Designated Demat Account details of the broker where my/our securities shall be transferred from my/our aforesaid beneficiary account for settlement obligation and/or shall be given for Margin Pledge towards my/our Margin Obligation are as follows:

DP ID	Designated Demat Account	DP Name	Trading Code
12083000	00000030	JBS SECURITIES PRIVATE LIMITED	Pool Account
IN301151	12061172	HDFC BANK LTD	Pool Account
12083000	00009198	JBS SECURITIES PRIVATE LIMITED	TM/CM – Client Securities Margin Pledge Account
11000011	00019794	JBS SECURITIES PRIVATE LIMITED	Early pay in Account
12083000	00008502	JBS SECURITIES PRIVATE LIMITED	Pool Account
12083000	00017154	JBS SECURITIES PRIVATE LIMITED	CUSPA
12083000	00012023	JBS SECURITIES PRIVATE LIMITED	NSCCL Clearing Member

Signed and De _____ ficial Owner(s):

Beneficial Owner(s)	Sole / First Holder / 1st Authorized Signatory/ Karta of HUF*	Second Holder / 2nd Authorized Signatory*	Third Holder / 3rd Authorized Signatory*
Name			
Specimen Signature			

Applicable in case of HUF demat account only

Sr. No.	Major Co-Parcener's Name	Relation with Karta	Gender	Signature
1				
2				
3				
4				
5				
6				

Beneficial Owner(s)	Name	Signature
Sole / First Holder / 1st Authorized Signatory/ Karta of HUF		
Second Holder / 2nd Authorized Signatory		
Third Holder / 3rd Authorized Signatory		

We hereby confirm having accepted the aforesaid Instructions.

For JBS Securities Private Limited

Authorized Signatory

Date: ____/____/____

* the same may be eSigned or signed physically

Annexure – A

Demat Debit and Pledge Instruction– Voluntary Document

To all whom these presents shall come I/We Mr. /Mrs. /M/s _____
 _____ (First Holder)
 _____ (Second Holder)
 _____ (Third Holder) an
 individual/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the
 provisions of the Indian Partnership Act, 1932/the Companies Act 1956, or any relevant Act, having my/our
 residence/registered office/place of business at _____
 _____ send greetings.

Whereas I/ we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services
 (India) Limited through Depository Participant **JBS SECURITIES PRIVATE LIMITED** bearing DP ID- **12083000**

And whereas I / We am / are an investor(s) engaged in buying and selling of securities having trading
 client code _____ through **JBS SECURITIES PRIVATE LIMITED** (hereinafter referred
 to as "**JBS**") a Member of National Stock Exchange of India Ltd (NSE) In Capital Market Segment, Equity
 Derivative Segment & Currency Derivative Segment, bearing SEBI Registration No. INZ000191134.
 (hereinafter referred to as "**the Stock Exchange/ Exchanges**").

And Whereas due to exigency and paucity of time, I/we am/are executing an agreement naming Demat Debit and Pledge
 Instruction (DDPI) allowing **JBS SECURITIES PRIVATE LIMITED** for below mentioned purposes:

Sr. No.	Purpose	Signature of Client* (First Holder)	Signature of Client* (Second Holder)	Signature of Client* (Third Holder)
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries/settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker			
2.	Pledging / re-pledging of securities in favour of trading member(TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.			
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms.			
4	Tendering shares in open offers through Stock Exchange platforms.			

I/We hereby agree and declare that all acts and deeds done by the aforesaid stock broker under this authorization shall be construed as acts and deeds done by me/us jointly as well as severally and I/we further confirm and ratify all acts and deeds

Clients Signature : 

Confirmation of Receipt of KYC Documents for Trading & Demat Account

To,
The Director
M/s. JBS Securities Pvt. Ltd.
Ahmedabad - 380 009.

Date : - -

Ref. : Confirmation of Receipt of KYC Documents for Trading & Demat Account

With reference to above, I / We have my / our Trading Account No.: _____ and Demat A/c. No. 12083000 _____ allotted to me /us wish to state and Confirm as under:

1. For registration as your client/upon registration, I/We have received a photocopy of the duly completed documents viz. Client Registration kit (KYC) including do's and don'ts, Rights and Obligations (Trading and Demat), Risk Disclosure document, Guidance note, do's and don'ts, Policies and procedures, POA and also a copy of any other document executed by me/us as your client.
2. Further, the trading code/ client ID and the unique client code allotted to me/us as your client and the Email ID furnished by me/us has been communicated by you to me/us through the KYC form or otherwise in writing.

As a token of acceptance and proof of aforesaid, I/We have put my signature herein below.

	First / Sole Hoder / Authorised Signatory or Guardian (In case of Minor)	Second Holder / Authorised Signatory	Third Holder / Authorised Signatory
Name			
Designation			
Signature			

REQUIRED DETAILS

FATHER'S NAME	
MOTHER'S NAME	
SPOUSE NAME	
EMAILADDRESS	
MOBILE NUMBER	
QUALIFICATION	
ANNUAL INCOME	
OCCUPATION	

DOCUMENTS REQUIRED FOR INDIVIDUAL

- 1) 1 PHOTO PASSPORT SIZE.
- 2) 1 PAN CARD COPY
- 3) 1 COPY AADHAR CARD (FRONT & BACK SIDE)
- 4) 1 DRIVING LICENCE OR PASSPORT COPY OR VOTER ID
- 5) CANCEL CHEQUE OR BANK PASS BOOK OR BANK STATMENT
- 6) NOMINEE PAN CARD
- 7) FATHER & MOTHER'S FULL NAME
- 8) EMAIL ID & CONTACT NUMBERS
- 9) **WITNESS IN PAGE NO. 17 & 38**
- 10) RS. _____ CHEQUE IN FAVOUR OF JBS SECURITIES PVT. LTD.

ALL DOCUMENTS XEROX COPY WITH SELF ATTESTED.

DOCUMENTS REQUIRED FOR HUF

- 1) 2 PHOTO PASSPORT SIZE
- 2) 1 PAN CARD COPY HUF & 1 PAN CARD COPY INDIVIDUAL '
- 3) AADHAR CARD (FRONT & BACK SIDE)
- 4) HUF DECLARATION
- 5) CANCEL CHEQUE & BANK PASS BOOK OR BANK STATMENT LAST 3 MONTHS LATEST ENTRY
- 6) FATHER SMOTHER'S FULL NAME
- 7) EMAIL ID & CONTACT NUMBERS
- 8) WITNESS IN PAGE NO. 17 & 38
- 9) ALL MEMBER'S NAME, RELATION WITH KARTA & SIGN IN PAGE NO. 21 & 38
- 10) ALL MEMBER'S PANCARD OR AADHAR OR PASSPORT OR DRIVING LICENCE COPY
- 11) RS. CHEQUE IN FAVOUR OF JBS SECURITIES PVT. LTD.

ONLY SINGNATURE (KARTA'S) IN PAGE NO. 3, 4, & 11.

ALL HUF DOCUMENTS XEROX COPY WITH SELF ATTESTED WITH HUF STAMP.

ALL INDIVIDUAL (KARTA'S) DOCUMENTS XEROX COPY WITH SELF ATTESTED WITHOUT HUF STAMP.

DOCUMENTS REQUIRED FOR MINOR

- 1) 1 PHOTO PASSPORT SIZE MINOR & GUARDIAN
- 2) 1 PAN CARD COPY MINOR & GUARDIAN
- 3) AADHAR CARD MINOR & GUARDIAN (FRONT & BACK SIDE)
- 4) SCHOOL OR COLLAGE ID CARD
- 5) BIRTH CERTI.
- 6) CANCEL CHEQUE OR BANK PASS BOOK OR BANK STATMENT
- 7) FATHER & MOTHER'S FULL NAME
- 8) EMAIL ID & CONTACT NUMBERS
- 9) 3 WITNESS IN PAGE NO. 17 & 38
- 10) RS. _____ CHEQUE IN FAVOUROF JBS SECURITIES PVT. LTD.

ONLY SINGNATURE (GUARDIAN'S) IN PAGE NO. 5 & 6.

**ALL MINOR DOCUMENTS XEROX COPY WITH ATTESTED BY GUARDIAN WITH MINOR STAMP.
ALL INDIVIDUAL (GUARDIAN'S) DOCUMENTS XEROX COPY WITH SELF ATTESTED WITHOUT MINOR STAMP.**

DOCUMENTS REQUIRED FOR TRUST

- 1) 2 PHOTO PASSPORT SIZE ALL TRUSTEE
- 2) 1 PAN CARD COPY ALL TRUSTEE
- 3) 1 PAN CARD COPY TRUST
- 4) AADHAR CARD ALL TRUSTEE (FRONT & BACK SIDE)
- 5) CANCEL CHEQUE & BANK PASS BOOK OR BANK STATMENT (LATEST LAST 6 MONTHS)
- 6) 2 YEARS FINANCIAL BALANCE SHEETS
- 7) AUTHORISED SIGNATORIES LIST WITH SPECIMEN SIGNATURES
- 8) LIST OF TRUSTEES CERTIFIED BY MANAGING TRUSTEES / CA
- 9) TRUST REGISTRATION
- 10) FATHER , MOTHER & WIFE'S FULL NAME
- 11) EMAIL ID & CONTACT NUMBERS
- 12) WITNESS IN PAGE NO. 17 & 38
- 13) RS. _____ CHEQUE IN FAVOUROF JBS SECURITIES PVT. LTD.

**ALL TRUST DOCUMENTS XEROX COPY WITH AUTHORISED SIGNATORIES SIGN (SELF ATTESTED)
WITH TRUST STAMP. ALL INDIVIDUAL (TRUSTEE'S) DOCUMENTS XEROX COPY WITH SELF
ATTESTED WITHOUT TRUSTS STAMP. TRUST STAMP WITH SIGN ACROSS PHOTO ON PAGE NO 10.**

DOCUMENTS REQUIRED FOR CORPORATE

- 1) ALL DIRECTOR'S 2 PHOTO PASSPORT SIZE
- 2) 1 PAN CARD COPY COMPANY & ALL DIRECTORS
- 3) LAST 2 FINANCIAL YEARS BALANCE SHEETS
- 4) COPY OF LATEST SHARE HOLDING PATTERNS
- 5) COPY OF MEMORANDAM
- 6) COPY OF BOARD RESOLUTION
- 7) AUTHORISED SIGNATORIES LIST WITH SPECIMEN SIGNATURES
- 8) CANCEL CHEQUE & BANK STATMENT LAST 6 MONTHS WITH LATEST ENTRY
- 9) 1 COPY AADHAR CARD (FRONT & BACK SIDE) ALL DIRECTORS
- 10) ALL DIRECTOR'S FATHER, MOTHER & SPOUSE FULL NAME
- 11) ALL DIRECTOR'S EMAIL ID & CONTACT NUMBERS
- 12) WITNESS IN PAGE NO. 17 & 38
- 13) RS. _____ CHEQUE IN FAVOUROF JBS SECURITIES PVT. LTD.

**ALL COMPANY'S DOCUMENTS XEROX COPY WITH SELF ATTESTED BY AUTHORISED
SIGNATORIES SIGN WITH COMPANYS STAMP. ALL DIRECTOR'S DOCUMENTS
XEROX COPY WITH SELF ATTESTED BY DIRECTORS**

Acknowledgment Receipt

(To be filled by the Depository Participant / Trading Member)

Application No. :

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of Sole / First Holder	
Name of the Second Joint Holder	
Name of the THird Joint Holder	

For, JBS Securities Pvt. Ltd.

BLANK

BLANK

BLANK